

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

William J. Davis



VANTAGE POINT
WEALTH MANAGEMENT

Main Office Address:

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This brochure supplement provides information about William J. Davis and supplements the Investment VPWM Advisors LLC dba Vantage Point Wealth Management brochure. You should have received a copy of that brochure. Please contact William J. Davis if you did not receive the brochure or if you have any questions about the contents of this supplement.

ADDITIONAL INFORMATION ABOUT WILLIAM J. DAVIS (CRD #4669409)
WWW.ADVISERINFO.SEC.GOV.

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

Supervised Person – William J. Davis

- Year of birth: 1962
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Item 2 - Educational Background and Business Experience

Educational Background:

- North Dakota State University; Bachelor of Science-Agriculture Economics; 1983

Business Experience:

- VPWM Advisors LLC; Investment Advisor Representative; 04/2025 – Present
- LPL Financial LLC; Registered Representative; 04/2025– Present
- Davis Financial LLC; Owner; 10/2021 – Present
- Sole Proprietor; Insurance Agent; 07/2012 - Present
- Walleye On A Stick LLC; Owner; 01/1991 - Present
- Wells Fargo Advisors LLC; Investment Advisor Representative; 10/2021 – 04/2025
- Wells Fargo Advisors Financial Network, LLC; Registered Representative; 09/2021 – 04/2025
- Wells Fargo Clearing Services, LLC; Investment Advisor Representative/Registered Representative; 01/2008 – 09/2021

Professional Certifications

William Davis has earned certifications and credentials that are required to be explained in further detail.

Accredited Asset Management Specialist SM (AAMS®) Accredited Asset Management Specialist is a designation granted by the College of Financial Planning. AAMS® requirements:

- Individuals who hold the AAMS® designation have completed a course of study encompassing investments, insurance, tax, retirement, and estate planning issues. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.
 - All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.
 - Designees renew their designation every two years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.
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Item 3 - Disciplinary Information

A. William Davis has never been involved in a criminal or civil action in a domestic, foreign, or military court of competent jurisdiction for which he:

1. Was convicted of, or pled guilty or nolo contendere (“no contest”) to: (a) any felony; (b) misdemeanor that involved investments or an investment-related business,

- fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
2. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. Was found to have been involved in a violation of an investment-related statute or regulation; or
 4. Was the subject of any order, judgement, or decree permanently or temporarily enjoining or otherwise limiting him from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.
- B. William Davis has never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or
 2. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority (a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
- C. William Davis has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or
 2. Was found to have been involved in a violation of the SRO's rules and was: (a) barred or suspended from membership or from association with other members or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.
- D. William Davis has not been involved in any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 - Other Business Activities

William Davis has a financially affiliated business as an insurance agent and as a registered representative with LPL Financial LLC. Approximately 10% of his time is spent on these activities. He will offer Clients products and services from these activities. As a registered representative and insurance agent, he may receive separate yet typical compensation.

These practices represent a conflict of interest because they give an incentive to recommend products or services based on the commission amount received. This conflict is mitigated by disclosures, procedures, and the firm's fiduciary obligation to place the best interest of the Client first, and the Clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent or registered representative of their choosing.

Additionally, William Davis is 50% owner of Walleye On A Stick, LLC, a concession stand business and Davis Financial, LLC, a pass-through company for tax purposes. These are not financially affiliated business and there will not be any crossover clients.

Item 5 - Additional Compensation

William Davis receives additional compensation for his roles disclosed in item 4 above. He does not receive any performance-based fees.

Item 6 - Supervision

Joseph McRae is the Chief Compliance Officer of VPWM Advisors LLC. Joseph McRae reviews William Davis' work through Client account reviews and quarterly personal transaction reports, as well as face-to-face and phone interactions. Joseph McRae can be reached at joe@vpwealthmgmt.com or 763-587-7120.